



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NO./TITLE

09/172,763 10/7/1998 PGMH

A FM256389

PILLSBURY MADISON & SUTRO  
ATTN G LLOYD KNIGHT  
1100 NEW YORK AVENUE N W  
NINTH FLOOR EAST TOWER  
WASHINGTON DC 20005-3918

NOT ASSIGNED

DEC 15 1998

PATENT & TRADEMARK OFFICE  
JC32

2873

DATE MAILED:

10/30/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Application abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

*If all required items on this form are filed within the period set above, the total amount owed by applicant as a*  
 **small entity (statement filed)**  **non-small entity is \$ 2674.00.**

1. The statutory basic filing fee is:

missing.  
 insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. Additional claim fees of \$ 1754, including any multiple dependent claim fees, are required.

\$ 1066 for 13 independent claims over 3.

\$ 418 for 19 dependent claims over 20.

\$ 270.00 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unexecuted.  
 does not cover the newly submitted items.  
 does not identify the application to which it applies.  
 does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
 7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

***A copy of this notice MUST be returned with the reply.***

*W. M. Merle Dettm*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

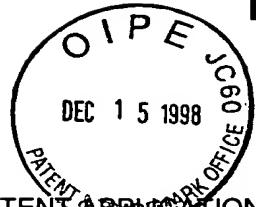
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Page 1 of 2  
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## FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)

For Design, Provisional, or Utility Applications

PATENT  
APPLICATION

In re PATENT APPLICATION of

Inventor(s): ISHII, A et al

Appln. No.: 09 | 172,263  
Series Code ↑ Serial No. ↑

Filed: October 14, 1998

Title: ZOOM LENS SYSTEM

COMPLETION Under  
Rule 53(f)

Attn: Application Division

Atty.Dkt.	PMS	256389	OL76398N-US
		M#	Client Ref
(Our Deposit Account No. 03-3975)		9523	256389
(Our order No. 9523		C#	M#

Date: December 15, 1998

Hon. Commissioner of Patents and Trademarks  
Washington, DC 20231

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts**  copy attached  not yet received  
 2.  Signed Declaration attached.  Original  Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and  
 "X" box 2A only if top box of the Declaration is X'd and file application copy, or  
 "X" box 2B only if none of the top three boxes of the Declaration is X'd.)

2A.  Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.

2B.  The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.

3.  Specification originally filed in non-English language; hence verified translation attached:  
 a.  Abstract  
 b. #  pages of Specification (only spec. & claims)  
 c.  Drawing  
 Fig(s). \_\_\_\_\_

4.  Letter filing formal drawing attached.

5.  Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in JAPAN

8.

Application No.	Filing Date	Application No.	Filing Date
(1) 9-280758	14 OCT 1997	(2) 10-003215	09 JAN 1998
(3) 10-244643	31 AUG 1998	(4)	
(5)		(6)	

Completion Under Rule 53(b)

9. 3 (No.) Certified copy (copies):  attached;  previously filed (date) \_\_\_\_\_ filed on \_\_\_\_\_

10.  Attached: \_\_\_\_\_ (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27.

11.  Attached: IDS

12.  Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

			Large/Small Entity		Fee Code
13. Basic Filing Fee .....	Design Application	\$330/\$165			106/26
	Not Design Application	\$790/\$395	+790		101/201
14. Total Effective Claims	39	minus 20 =	19	x \$22/\$11	+418
15. Independent Claims	16	minus 3 =	13	x \$82/\$41	+1066
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)			\$270/\$135	+270	104/204
17. Surcharge for filing Declaration/filing fee late			\$130/\$65	+130	105/205
18.	<b>FILING FEE ENCLOSED =</b>				<b>\$2674</b>
19. Original due date:	December 30, 1998				
20. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo)	\$110/\$55 =	+0		115/215
	(2mos)	\$380/\$190 =			116/216
	(3mos)	\$870/\$435 =			117/217
	(4mos)	\$1360/\$680 =			118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee .....		\$	+		139
22. If "assignment" box 5 is X'd, add recording fee. ....		\$40	+40		581
23. Petition Fee for		\$	+		
24.	<b>TOTAL FEE ENCLOSED =</b>				<b>\$2714</b>

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Madison & Sutro LLP  
Intellectual Property Group  
1100 New York Avenue, N.W. By: Atty: G. Lloyd Knight Reg. No. 17698  
Ninth Floor East Tower  
Washington, D.C. 20005-3918 Sig:   
Tel: (202) 861-3000 Fax: (202) 822-0944  
Atty/Sec: GLK/sdm Tel: (202) 861-3090

**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**